

GR 2132 #87
Docket No. 8-28-03
DE919980072US1

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Re Application Of: **Uwe Hansmann, et al.**

Serial No.	Filing Date	Examiner	Group Art Unit
09/398,876	September 20, 1999	Lee R. Huynh	2132

Title: **METHOD AND SYSTEM FOR SECURELY HANDLING INFORMATION BETWEEN TWO INFORMATION PROCESSING DEVICES**

RECEIVED

Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

AUG 21 2003
Technology Center 2100

37 CFR 1.97(b)

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

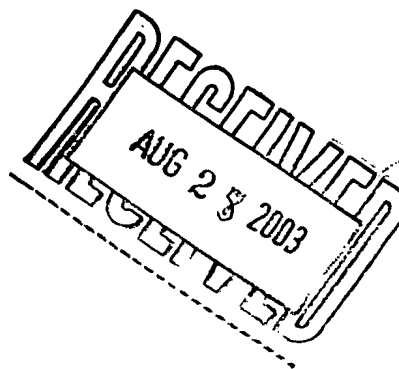
37 CFR 1.97(c)

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☒ the fee set forth in 37 CFR 1.17(p).



TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
DE919980072US1

In Re Application: Uwe Hansmann, et al.

Serial No.
09/398,876

Filing Date
September 20, 1999

Examiner
Lee R. Huynh

Group Art Unit
2132

**METHOD AND SYSTEM FOR SECURELY HANDLING INFORMATION BETWEEN TWO
PROCESSING DEVICES**

RECEIVED

AUG 21 2003

Technology Center 2100

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☒ A check in the amount of \$180.00 is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 50-0510/IBM as described below.
- ☐ Charge the amount of
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on August 13, 2003 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

John S. Sensny
Signature

John S. Sensny

Typed or Printed Name

John S. Sensny
Signature

Dated: August 13, 2003

cc: JSS:jy



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Uwe Hansmann, et al.

Examiner: Lee R. Huynh

Serial No.: 09/398,876

Group Art Unit: 2132

Filed: September 20, 1999

Docket: DE919980072US1 (12640)

**For: METHOD AND SYSTEM FOR SECURELY
HANDLING INFORMATION BETWEEN TWO
INFORMATION PROCESSING DEVICES**

Dated: August 13, 2003

RECEIVED

AUG 21 2003

**Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450**

Technology Center 2100

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R §§1.97 and 1.98, it is requested that the following reference(s), which is (are) also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. Japanese Document No. 06-187511, dated July 8, 1994 with English Patent Abstract;
2. Japanese Document No. 02-041051, dated February 9, 1990 with English Patent Abstract;
3. Japanese Document No. 09-073415, dated March 18, 1997 with English Patent Abstract;

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Box 1450, Alexandria, VA 22313-1450 on August 13, 2003.

Dated: August 13, 2003

John S. Sensny
John S. Sensny




4. E. Okamoto, "Introduction to Code Theory", Japan, Kyoritsu Shuppan Co., Ltd. February 25, 1993; page 110; and
5. N. Ikeno and K. Koyama, "Modern Code Theory", Japan, Journal of the Institute of Electronics, Information and Communication Engineers, May 20, 1989; pages 223-225.

The references were cited in an Official Action dated May 6, 2003 received from the Japanese Patent Office. Applicants are submitting copies of the above-cited references.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. §1.97(d), the \$180.00 fee and statement are enclosed.

Respectfully submitted,


John S. Sensny
Registration No. 28,757

Scully, Scott, Murphy & Presser
400 Garden City Plaza
Garden City, New York 11530
(516) 742-4343

JSS:jy